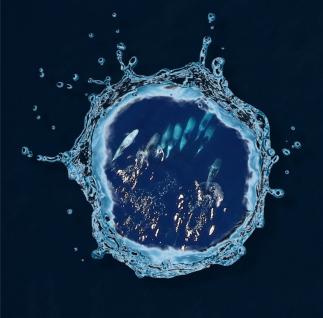
Digital Aerial Baseline Survey of Marine Wildlife in Support of Offshore Wind Energy

Overview and Summary







NYSERDA





Digital Aerial Baseline Survey of Marine Wildlife in Support of Offshore Wind Energy

Overview and Summary

Prepared for

New York State Energy Research and Development Authority 17 Columbia Circle Albany, NY 12203-6399



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1 Introduction and Background



In support of New York State's commitment to incorporating offshore wind into its energy portfolio, the New York State Energy Research and Development Authority (NYSERDA) embarked on a multi-year ultra-high resolution aerial digital survey of marine resources in a 43,745.20 km² (12,754.06 mi²) offshore planning area (OPA) in 2016. The OPA encompasses the waters of the New York Bight from Long Island southeast to the continental shelf break. After approximately 36,238 nautical miles of flights above the potential rotor swept area conducted over twelve seasonal surveys (see Table 1 for timing), the 3.6 million images collected have provided NYSERDA with a stunning baseline of information about the avian and marine wildlife in the OPA.

One year after mobilizing the first aerial digital surveys, call areas for wind energy were identified within an Area for Consideration (NYSERDA 2017). The document fulfilled one of the key goals of the New York State Offshore Wind Master Plan and identified a broad area of the OPA with generally lower densities of marine biota, as well as some areas for consideration which were generated using a combined assessment of multi-stakeholder interests in addition to available information of biological activity. A further two years of seasonal aerial digital surveys have since been completed. This report summarizes the results of the twelve aerial digital surveys conducted on behalf of NYSERDA during summer 2016 through spring 2019

and provides an overview of how these empirical data compare with the modeled distribution and density information presented in NYSERDA (2017). This aerial digital summary report supports a larger five-volume report that describes in detail the methods used to collect and analyze the data collected during these surveys and provides information on temporal and distribution patterns for each taxon. Whereas this summary reports general patterns of distributions and densities, greater resolution of temporal patterns of activity are available in the relevant volumes of the final report.

Results of the high-resolution aerial digital survey reveal a diverse and dynamic biota that varies between seasons but exhibits repeatable spatial distributions. These data provide important support for the development of offshore wind in the OPA, confirming that the proposed call areas for wind energy identified in NYSERDA's Area for Consideration for the Potential Locating of Offshore Wind Energy Areas (NYSERDA 2017) are in areas with lower abundances of marine and avian resources.

For each survey, approximately 300,000 images were collected within the OPA using a transect design. Striking among the results is the fact that 98% of the images contained no target species groups, vessels or structures. Despite the seemingly low numbers of images capturing biota, the survey collected images of 205,277 animals representing:

- 76 species of birds
- 15 species of sharks
- 9 species of dolphins
- 9 species of whales
- 4 species of sea turtles
- 6 species of rays
- 3 species of seals

Table 1. Starting and Ending Dates and Number of Days to Complete each Survey

			<u> </u>	<u> </u>
Season	Reference Month	Date Started	Date Completed	# Days to Complete
Year 1				
Summer 2016	Aug 2016	26 Jul 2016	9 Aug 2016	13
Fall 2016	Nov 2016	5 Nov 2016	27 Nov 2016	10
Winter 2016-2017	Mar 2017	6 Mar 2017	3 Apr 2017	10
Spring 2017	May 2017	4 May 2017	21 May 2017	9
Year 2				
Summer 2017	Aug 2017	6 Aug 2017	21 Aug 2017	8
Fall 2017	Nov 2017	9 Nov 2017	27 Nov 2017	8
Winter 2017-2018	Feb 2018	18 Feb 2018	1 Mar 2018	6
Spring 2018	May 2018	21 Apr 2018	26 Apr 2018	5
Year 3				
Summer 2018	Aug 2018	29 Jul 2018	16 Aug 2018	8
Fall 2018	Nov 2018	11 Nov 2018	7 Dec 2018	12
Winter 2018-2019	Mar 2019	3 Feb 2019	17 Feb 2019	8
Spring 2019	May 2019	27 Apr 2019	7 May 2019	5

Some seasonal patterns of biological activity were evident and these differed among species groups. In the summer surveys, ray encounters were the most frequent, with the next most frequently encountered groups being birds, marine mammals, sharks, and turtles. During the fall surveys bird encounters were the most frequent, followed by marine mammals. The winter surveys were also dominated by birds followed by marine mammals. In the spring surveys, birds still mostly dominated the sample, and there was a higher proportion of marine mammals, but the Spring 2018 survey was quite different, with sharks, mostly spurdogs, representing most of the sample followed by birds.

Bird species composition and abundance varied throughout the year and between years, highlighting not only the seasonal nature of bird activity, but also the interannual variation that can be expected. The summer surveys were dominated by storm-petrels and shearwaters. The fall 2016 survey was dominated by gulls and gannets, the fall 2017 survey by phalaropes and gulls, and the fall 2018 survey by gulls and phalaropes. Winter 2016–2017 was dominated by auks, gulls and gannets, and winter 2017–2018 by shorebirds and gulls, and winter 2018–2019 by gulls



and ducks. Spring 2017 was dominated by *Sterna* terns and gulls, spring 2018 was dominated by phalaropes and ducks, and spring 2019 by gulls and storm-petrels.

Turtles were most frequently observed in the summer surveys with 97% of the observations occurring during this season. Loggerhead turtles were the most frequently found representing 74% of the total observations.

Of marine mammals, seals were difficult to identify to the species level with 13% identified to species. Gray, harp, and harbor seals were identified. Dolphins were the most abundant of the marine mammals consisting of 97% of the observations followed by 1% whales and 1% seals; unidentified mammals consisted of 1.5% of the total mammal observations and based on size, these animals were dolphins or seals. Dolphins were abundant in all seasons, particularly in spring and summer surveys. Except for unidentified dolphins, common dolphin was the most abundant species in all but one seasonal survey; the summer 2016 survey had more Risso's dolphin encounters. Fin whales were the most abundant whale species during the summer, fall and winter 2017–2018 surveys, while common minke whales were the most abundant species during the winter 2016–2017 and spring surveys. Humpback whales had the same relative abundance as common minke whales in spring 2017 and 2019 but were outnumbered by minke and sei whales in the spring 2018 survey. North Atlantic right whales were present in the winter 2016–2017 and spring 2017 surveys. Sperm whales were observed in the summer 2017, summer 2018, fall 2016, fall 2018, and winter 2018–2019 surveys.

Rays mostly occurred during the summer and fall surveys with one ray observed in the spring 2019 survey, and >99% of observations occurred in the summer surveys. Cownose, bullnose, and cownose/bullnose rays were the most abundant with 85% of the total observations. These surveys were the first to report giant manta rays at this northerly latitude, thus expanding their known range.

The majority (88%) of shark observations occurred during the spring 2018 survey. The remaining shark observations were mainly in the summer surveys across the OPA. Only blue sharks, basking sharks, white shark, scalloped hammerhead, smooth hammerhead, and tiger sharks were observed along with other unidentified species during the fall surveys. In the winter surveys, only basking shark, white shark, blue shark and spurdog were found.

Sixteen positively identified threatened and/or endangered species were recorded within the OPA during the 12 surveys not including species groups that may include listed species. Our categorization of threatened and endangered species was conservative and included species groups: "Sterna tern" possibly representing roseate tern, "hammerhead shark (unid.)" possibly representing scalloped hammerhead, and "turtle species unknown" possibly representing all endangered turtles (Table 2). More than 68% of listed species observations occurred in summer surveys and 30% occurred in spring surveys. Both seasonal surveys were dominated by numbers of Sterna terns and loggerhead turtles. Roseate terns identified to species comprised only 0.7% of observations (n=33).



Table 2. ESA and State Listed Species found during the Summer 2016 through Spring 2019 surveys in the OPA

Subtype	Species	Scientific Name
Shorebird	Piping Plover	Charadrius melodus
Sterna Tern	Roseate Tern	Sterna dougallii
Sterna Tern	Sterna Tern - species unknown	Sterna sp.
Whale	North Atlantic Right Whale	Eubalaena glacialis
Whale	Blue Whale	Balaenoptera musculus
Whale	Fin Whale	Balaenoptera physalus
Whale	Sei Whale	Balaenoptera borealis
Whale	Humpback Whale	Megaptera novaeangliae
Whale	Sperm Whale	Physeter macrocephalus
Turtle	Leatherback Turtle	Dermochelys coriacea
Turtle	Loggerhead Turtle	Caretta caretta
Turtle	Loggerhead/Kemp's Turtle	-
Turtle	Green Turtle	Chelonia mydas
Turtle	Kemp's Ridley Turtle	Lepidochelys kempii
Turtle	Turtle - species unknown	-
Shark	Whale Shark	Rhincodon typus
Shark	Shortfin Mako	Isurus oxyrinchus
Shark	Scalloped Hammerhead	Sphyrna lewini
Shark	Hammerhead (unid.)	Sphyrna sp.
Ray	Giant Manta Ray	Manta birostris
Tuna	Atlantic bluefin tuna	Thunnus thynnus

2 Spatial Patterns of Activity

The areas of activity in NYSERDA (2017) broadly categorized distributions and densities into Zones, and the selected Areas for Consideration were all located in an area which, by using a similar process of zoning, we define as Zone 2 (Figure 1). Zone 1 is the Coastal Zone, Zone 2 the Area for Consideration Zone, Zone 3 the Hudson Shelf Valley Zone, Zone 4 the Shelf Zone, Zone 5 the Shelf Slope Zone and Zone 6 the Shelf Break Zone (Figure 1). We reviewed spatial distributions and densities of animals generated by the aerial digital survey data and compared these to the information provided in NYSERDA (2017). Once an offshore wind farm has begun operating, it has the potential to impact birds and birds can be grouped based on the potential effects of offshore wind farms on them. Population-sensitive birds are species whose population status is already compromised. Collision-sensitive species are those most apt to fly within the rotor-swept zone. Displacement-sensitive species are those whose reaction to the presence of turbines would be to divert their flight patterns to avoid the wind farm. We reviewed areas of



bird species sensitivity to population, collision, and displacement impacts, and overlaid the call areas (see Figure 1) on the resulting sensitivity maps.

Density estimates were calculated for each strip transect determined by dividing the total count of individuals within the strip transect by the strip transect area. On the resulting heat maps, density is scaled to the maximum density across all seasons for each taxa.

As mentioned, generally, the aerial digital data show very similar patterns of animal density and distributions to those presented in NYSERDA (2017) and support the selection of the Area for Consideration recommended.



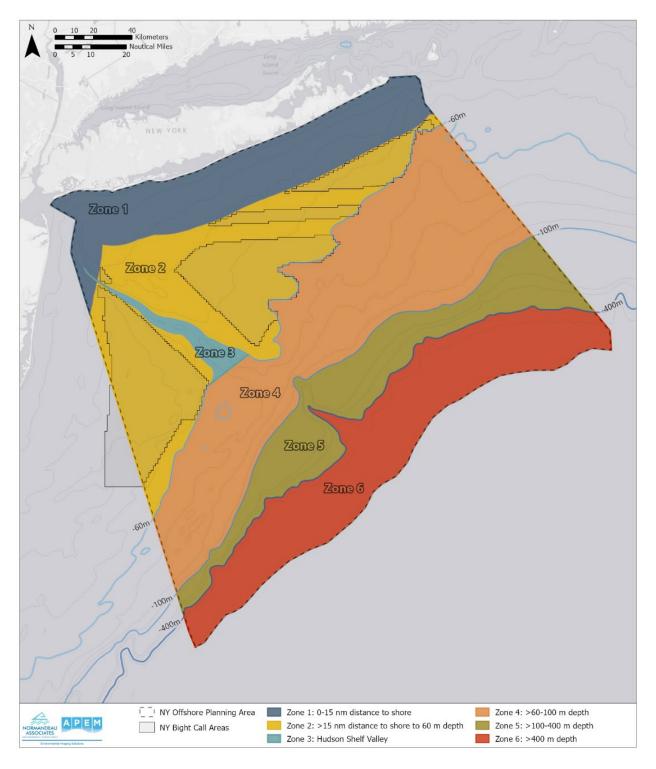


Figure 1. Zones defined in the analyses, and location of the Call Areas.

Zone 1 is the Coastal Zone, Zone 2 the Area for Consideration Zone, Zone 3 the Hudson Shelf Valley Zone, Zone 4 the Shelf Zone, Zone 5 the Shelf Slope Zone and Zone 6 the Shelf Break Zone.

2.1 Birds



For all birds, which includes the 39 species presented in NYSERDA (2017), the data match the temporally distinct patterns of highest densities occurring in the Coastal Zone 1, the Hudson Shelf Valley Zone 3, and the Shelf Slope Zone 5 (Figure 2, Figure 3). In the spring and fall the Coastal Zone 1 shows higher density, but overall Shelf Slope Zone 5 has highest density in the winter, and Hudson Shelf Valley Zone 3 has highest densities overall in the fall.

Additionally, the bird species population, collision and displacement sensitivity analyses also show that over the annual cycle Coastal Zone 1, Shelf Zone 4, and Shelf Slope Zone 5 generally show higher bird sensitivities than Area for Consideration Zone 2 (Figure 4, Figure 5, Figure 6).

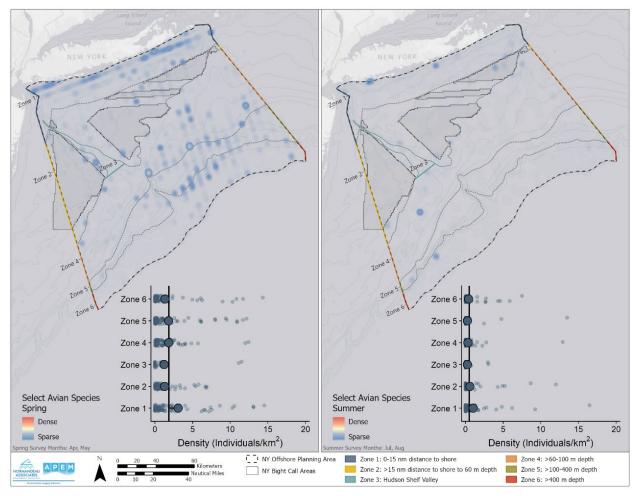


Figure 2. Heat map showing spatial distribution for birds during spring and summer by Zone and location of the current identified call areas.

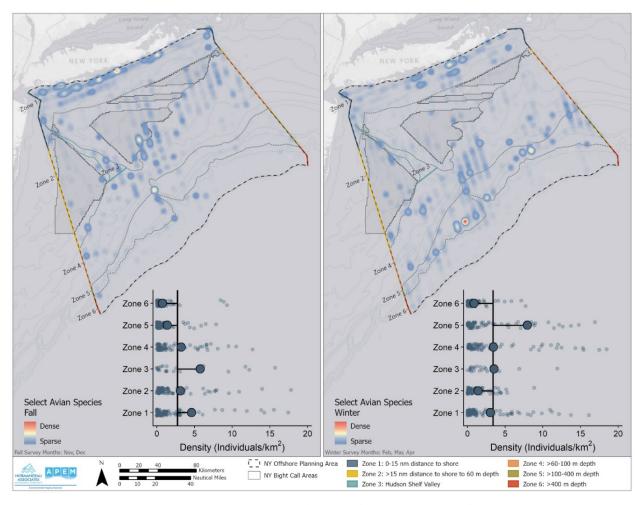


Figure 3. Heat map showing spatial distribution for birds during fall and winter by Zone, and location of the current identified call areas.

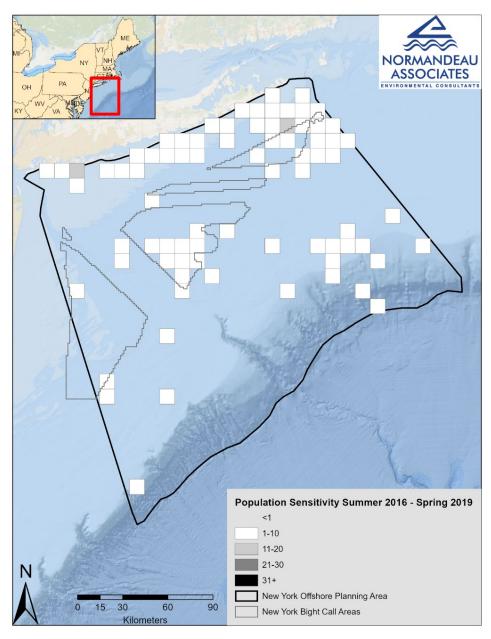


Figure 4. Areas of Population Sensitivity for bird species present in aerial digital surveys in relation to the call areas.

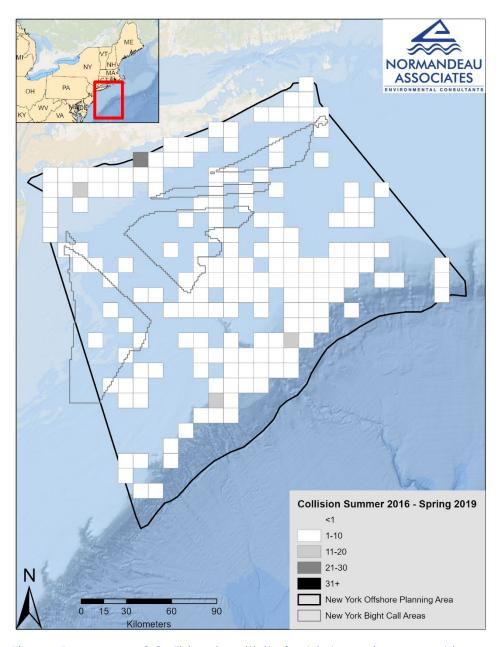


Figure 5. Areas of Collision Sensitivity for bird species present in aerial digital surveys in relation to the call areas.

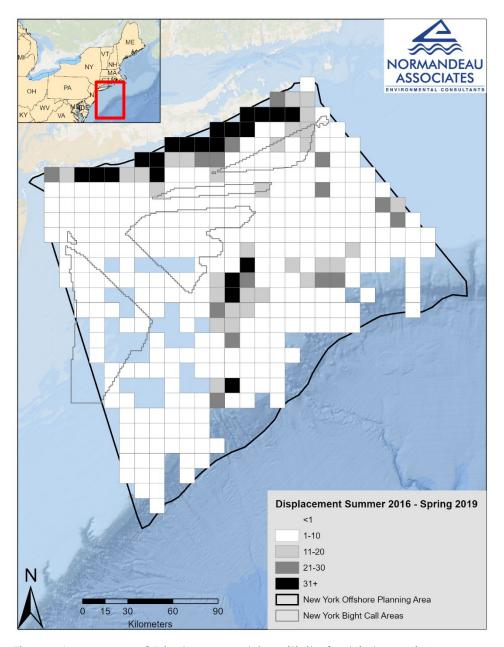


Figure 6. Areas of Displacement Sensitivity for bird species present in aerial digital surveys in relation to the call areas.

2.2 Turtles



Turtles show a strong southwest abundance and northwest absence, but across zones the general density of turtles were fairly even except in the summer when turtles are most abundant and located in the Area of Consideration Zone 2 (Figure 7, Figure 8). Aerial digital surveys are seen by aerial digital than aerial visual survey methods, and ten times more seen by aerial digital than boat-based methods (Robinson Willmott et al. 2013). In NYSERDA (2017), turtle densities generally increase from the Coastal Zone 1 out to the Shelf Break Zone 6. Here we see a close association with the 70 m isobaths keeping

most turtles within Area of Consideration Zone 2 (Figure 7).

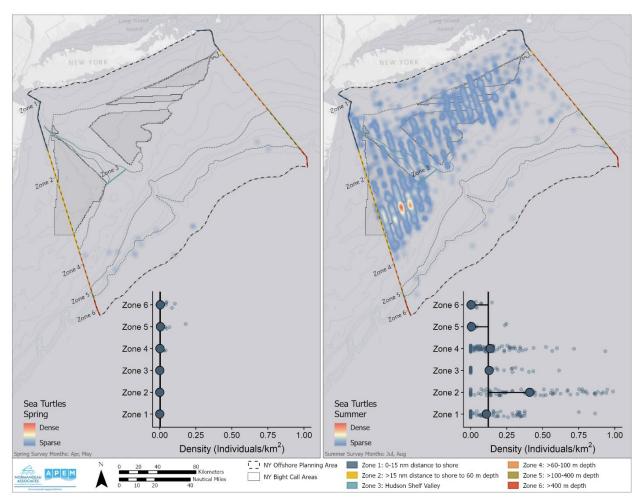


Figure 7. Heat map showing spatial distribution for turtles during spring and summer by Zone and location of the current identified call areas.

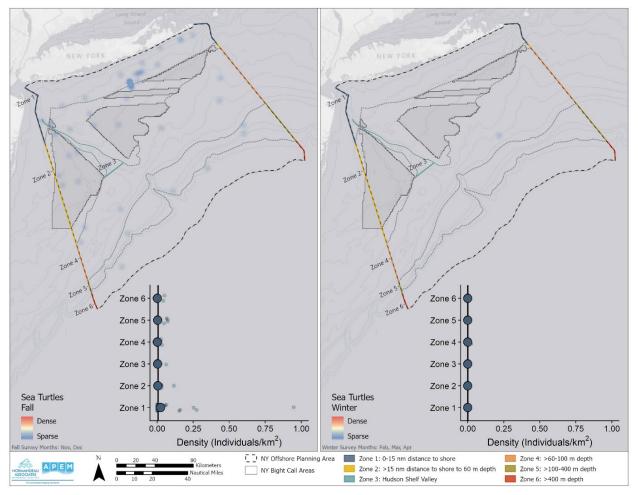


Figure 8. Heat map showing spatial distribution for turtles during fall and winter by Zone and location of the current identified call areas.

2.3 Marine Mammals (Cetaceans and Pinnipeds)



NYSERDA (2017) reports mammals by underwater auditory frequency groups (see Table 3) and so we similarly grouped marine mammals into these same groups for ease of comparison. North Atlantic right whale is treated independently under the endangered species section below.

The high-frequency cetacean group includes harbor porpoise, which amongst this group was





the dominant species. Only two dwarf sperm whales and three pygmy sperm whales were reported amongst the 424 harbor porpoise also represented in this group. This group shows low overall abundance in the summer and fall, and higher abundance in Coastal Zone 1 in the spring, and higher abundance in Area for Consideration Zone 2 in the spring and winter (Figure 9, Figure 10).

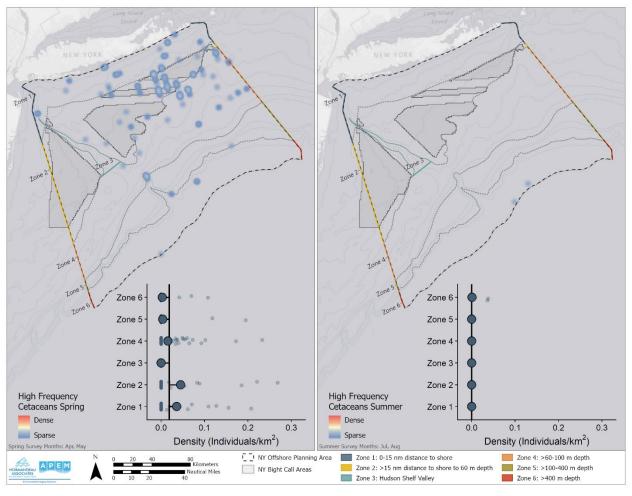


Figure 9. Heat map showing spatial distribution for high-frequency cetaceans during spring and summer by Zone and location of the current identified call areas.

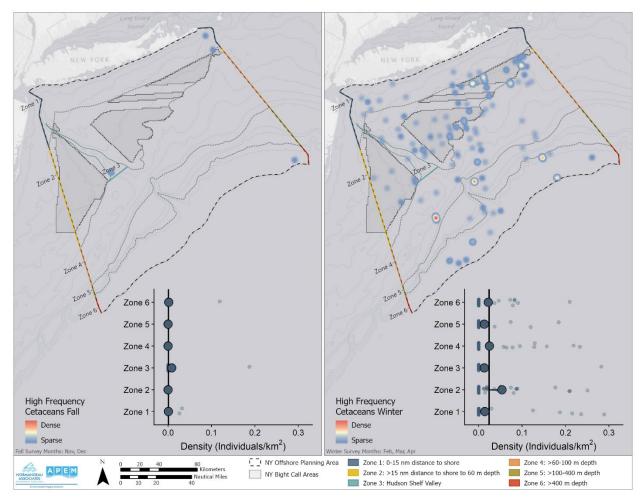


Figure 10. Heat map showing spatial distribution for high-frequency cetaceans during fall and winter by Zone and location of the current identified call areas.



The mid-frequency cetacean group includes many dolphin species as well as sperm whales. Within this group we have a total of 13 sperm whales, 26 beaked whales, and 10,099 dolphins of 9 different species. The density and distribution patterns closely follow NYSERDA (2017) with highest abundance in Zone 5 and Zone 6. Slightly different is that the aerial digital data show a higher abundance in the Hudson Shelf Valley Zone 3 in the fall (Figure 11, Figure 12).

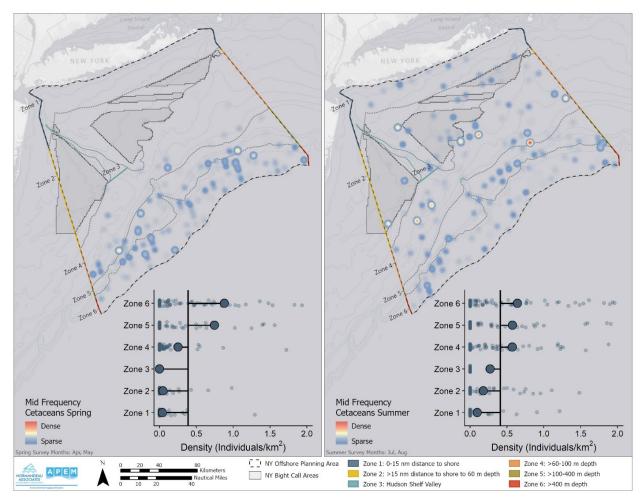


Figure 11. Heat map showing spatial distribution for mid-frequency cetaceans during spring and summer by Zone and location of the current identified call areas.

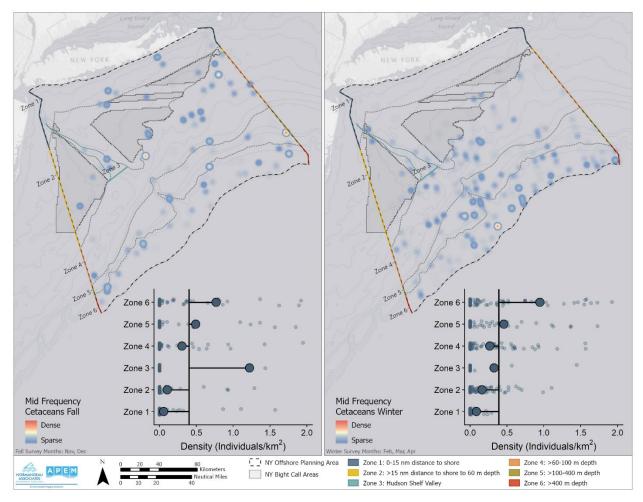


Figure 12. Heat map showing spatial distribution for mid-frequency cetaceans during fall and winter by Zone and location of the current identified call areas.

The low-frequency cetacean group includes common minke whale (n=30), as well as fin (n=43), sei (n=12), and humpback (n=20) whales. This group shows the same spatial patterns of distribution as NYSERDA (2017), although the temporal patterns of abundance differ; aerial digital data show greater abundance in Zone 6 in the summer rather than spring but match spatial and temporal patterns for Zone 5 (Figure 13, Figure 14).

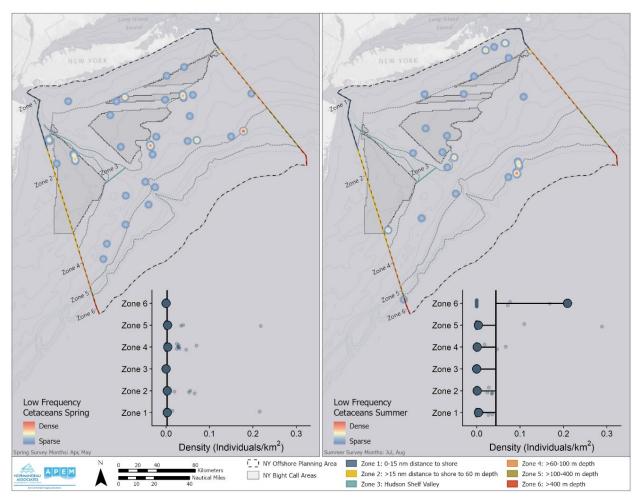


Figure 13. Heat map showing spatial distribution for low-frequency cetaceans during spring and summer by Zone and location of the current identified call areas.

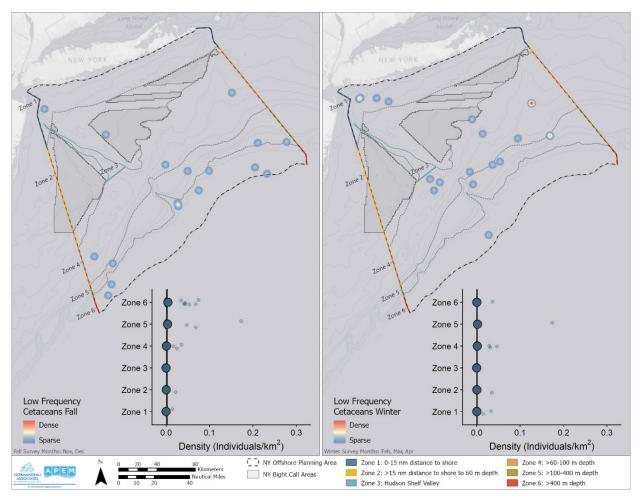


Figure 14. Heat map showing spatial distribution for low-frequency cetaceans during fall and winter by Zone and location of the current identified call areas.

Seals show the same pattern of density and distribution as NYSERDA (2017) although the higher abundance in the southwest and lower abundance in the northwest found in NYSERDA (2017) is not evident in the aerial digital data (Figure 15, Figure 16).

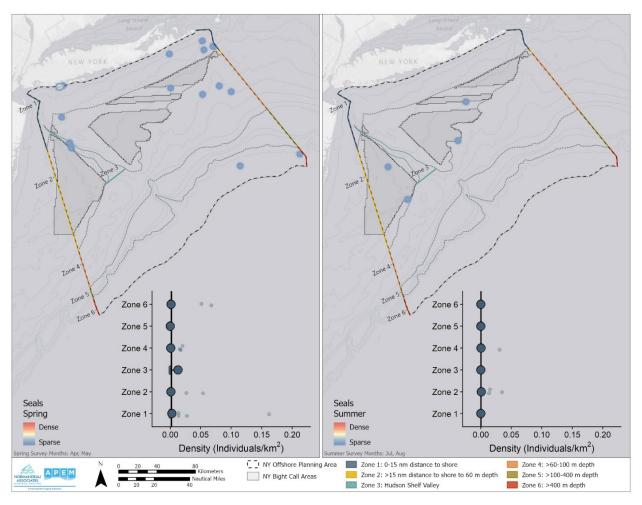


Figure 15. Heat map showing spatial distribution for seals during spring and summer by Zone and location of the current identified call areas.

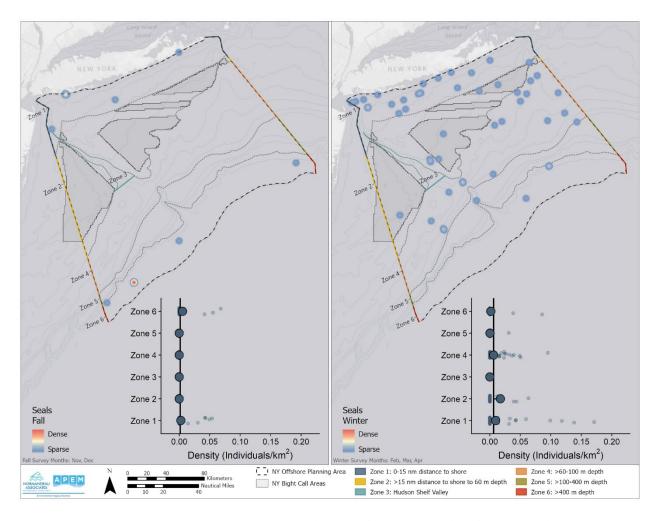


Figure 16. Heat map showing spatial distribution for seals during fall and winter by Zone and location of the current identified call areas.

Table 3. Marine Mammals from Aerial Digital Surveys Represented in each Auditory Frequency Group Defined in NYSERDA (2017b)

High-Frequency Cetaceans	Mid-Frequency Cetaceans	Low-Frequency Cetaceans
Dwarf Sperm Whale	Sperm Whale	Blue Whale
Pygmy Sperm Whale	Beaked Whale (unid.)	Common Minke Whale
Harbor Porpoise	Common Dolphin	Fin Whale
	Short-finned Pilot Whale	Sei Whale
	Pilot Whale (unid.)	Humpback Whale
	Risso's Dolphin	
	Atlantic White-sided Dolphin	
	Rough-toothed dolphin	
	Atlantic Spotted Dolphin	
	Striped Dolphin	
	Bottlenose Dolphin	
	Common/White-sided Dolphin	

2.4 Rays

Most of the rays were cownose or bullnose rays (n=18,183). There was no analysis of rays in NYSERDA (2017) but the aerial digital data provide some insight into hotspots and temporal patterns of activity. The Area for Consideration Zone 2 shows the highest density of rays in the summer; most occurred to the east of Hudson Shelf Valley Zone 3. This area of high activity is outside of the primary and secondary areas that have been subsequently identified by BOEM for the Hudson South call area within which most of this activity occurs (Figure 17, Figure 18).



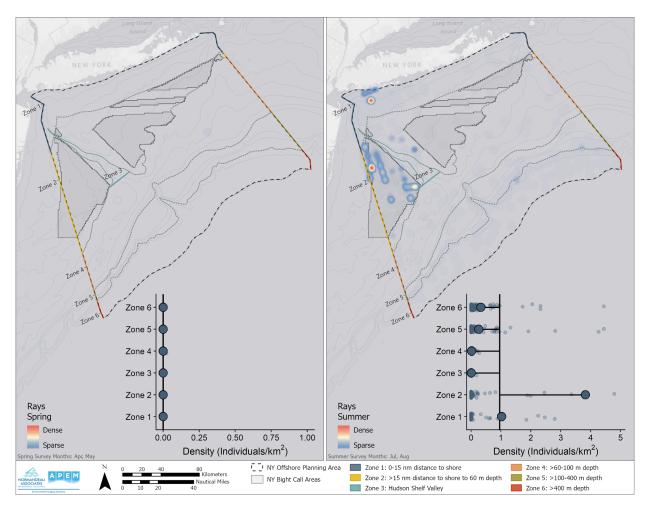


Figure 17. Heat map showing spatial distribution for rays during spring and summer by Zone and location of the current identified call areas.

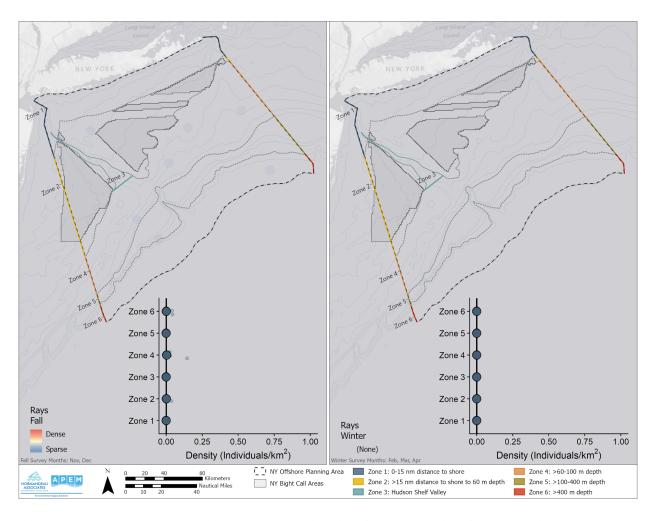


Figure 18. Heat map showing spatial distribution for rays during fall and winter by Zone and location of the current identified call areas.

2.5 Sharks

As with rays, sharks did not undergo any analysis in NYSERDA (2017) but aerial digital data provide some insight into patterns of activity in relation to the Zones and identified call areas. Overall, densities were highest in the spring for all sharks except hammerhead sharks, and highest in Shelf Zone 4 at that time (Figure 19, Figure 20). Hammerhead sharks were observed more frequently in the summer when they were fairly evenly distributed across all zones. However, mean densities were always relatively low (Figure

21, Figure 22).



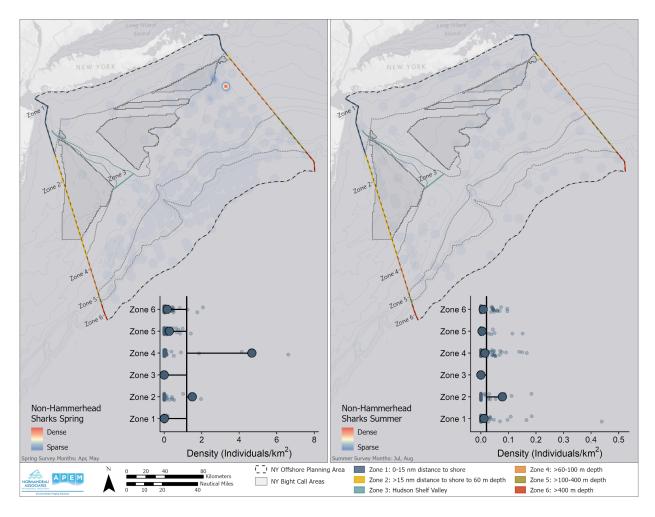


Figure 19. Heat map showing spatial distribution for non-hammerhead sharks during spring and summer by Zone and location of the current identified call areas.

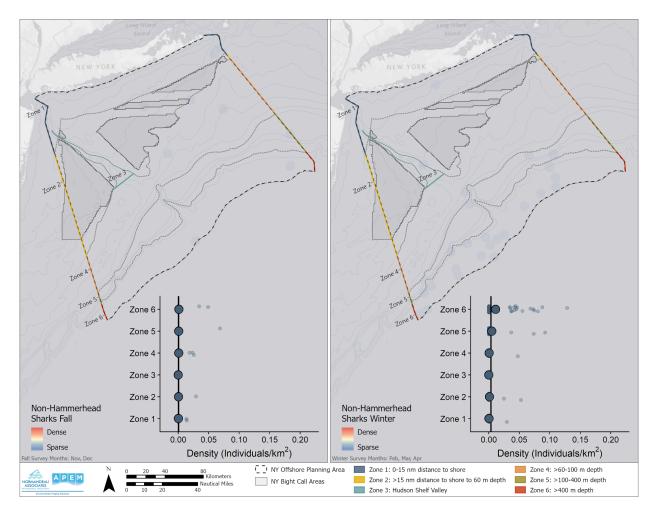


Figure 20. Heat map showing spatial distribution for non-hammerhead sharks during fall and winter by Zone and location of the current identified call areas.

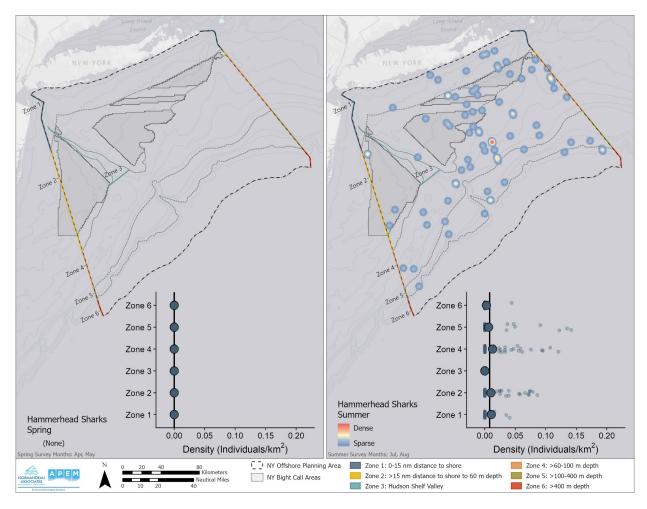


Figure 21. Heat map showing spatial distribution for hammerhead sharks during spring and summer by Zone and location of the current identified call areas.

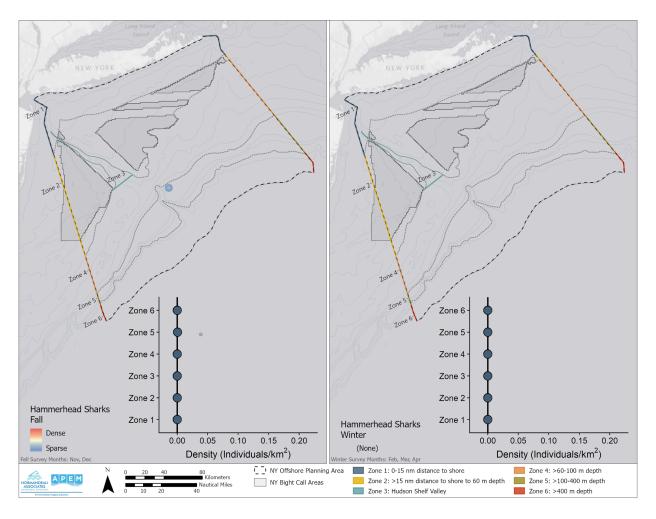


Figure 22. Heat map showing spatial distribution for hammerhead sharks during fall and winter by Zone and location of the current call areas.

2.6 Endangered Species

Unsurprisingly, endangered species were rarely encountered and none showed preference for Area for Consideration Zone 2. For those species with sufficient data points from aerial digital surveys we provide heat maps. For even rarer species we provide point maps showing recorded locations and seasons.

2.6.1 Birds



Roseate terns are listed under the Endangered Species Act, and at this time, black-capped petrel is under review for listing. Roseate terns were positively identified in spring and summer surveys and potentially show a slight preference for Shelf Slope Zone 5 in the spring (Figure 23). Similarly, black-capped petrels show no real preference for Zone but do regularly appear in Shelf Break Zone 6 (Figure 24, Figure 25). Piping plover are also listed under the

endangered species act. None of these were positively identified offshore, but one was encountered over a sandy coastal areas in the summer 2018 survey (Figure 26).

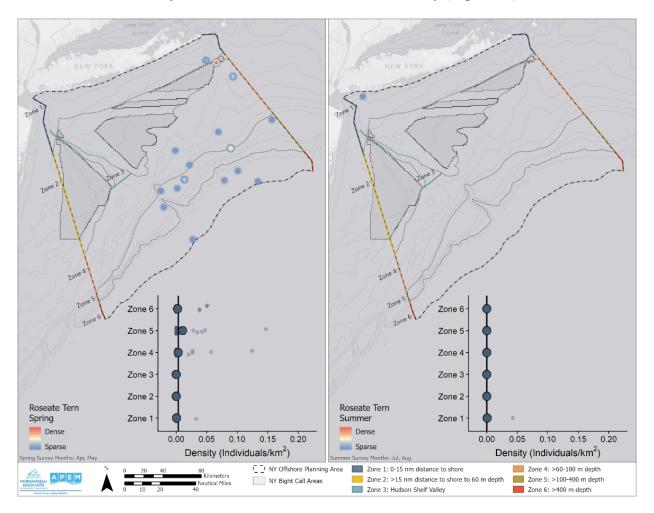


Figure 23. Heat map showing spatial distribution for roseate terns during spring and summer by Zone and location of the current call areas.

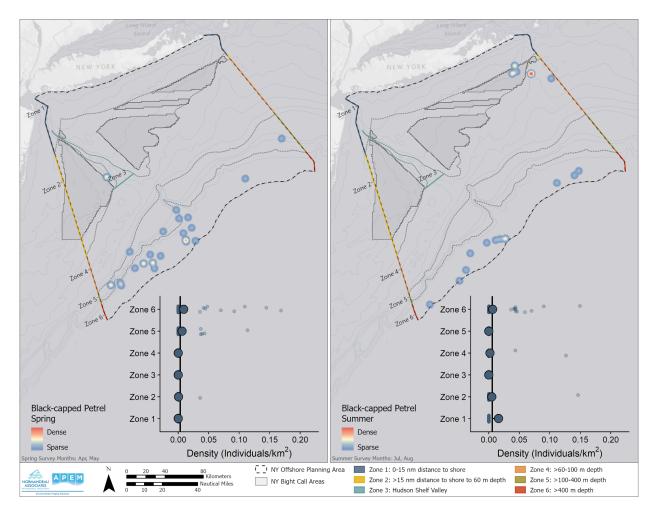


Figure 24. Heat map showing spatial distribution for black-capped petrels during spring and summer by Zone and location of the current call areas.



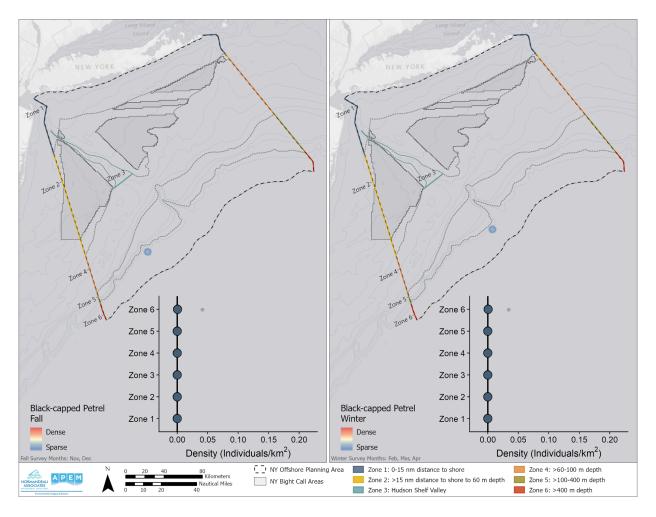


Figure 25. Heat map showing spatial distribution for black-capped petrels during fall and winter by Zone and location of the current identified call areas.

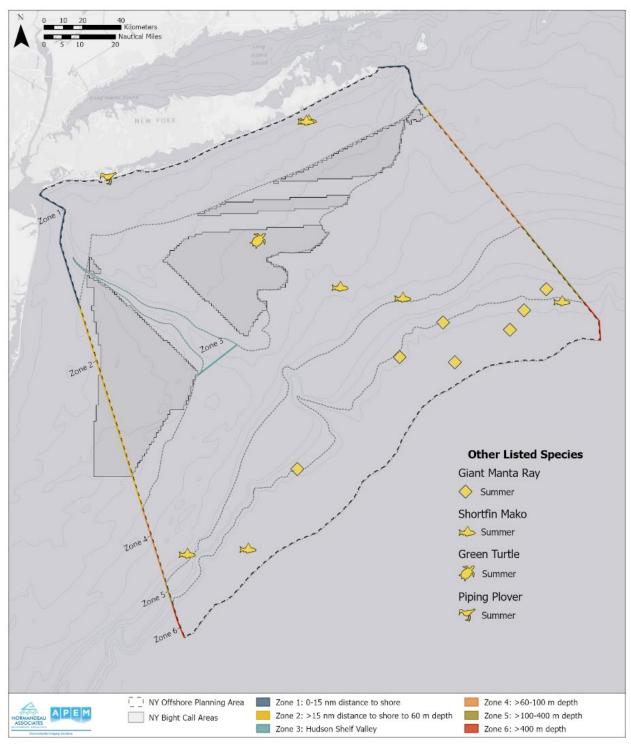


Figure 26. Spatial distribution for endangered species with low encounter rates, by Zone, and location of the current identified call areas.

2.6.2 Turtles



All turtles are listed under the Endangered Species Act, although in the OPA it is clear that densities of loggerhead turtles are higher than other species (Figure 27 through Figure 32). Leatherback densities are higher in in the fall showing some preference for Coastal Zone 1 (Figure 27, Figure 28). Loggerhead turtle densities are highest in the summer and these do show a preference for the Area for Consideration Zone 2 (Figure 29, Figure 30). Kemp's ridley turtles show a preference for Coastal Zone 1 in the summer, although there is some higher density in the western corner of Area for Consideration Zone 2 (Figure 31, Figure 32). There was only one green turtle positively identified in the summer 2016 survey and this was found within a proposed call area in Area for Consideration Zone 2 (Figure 26).

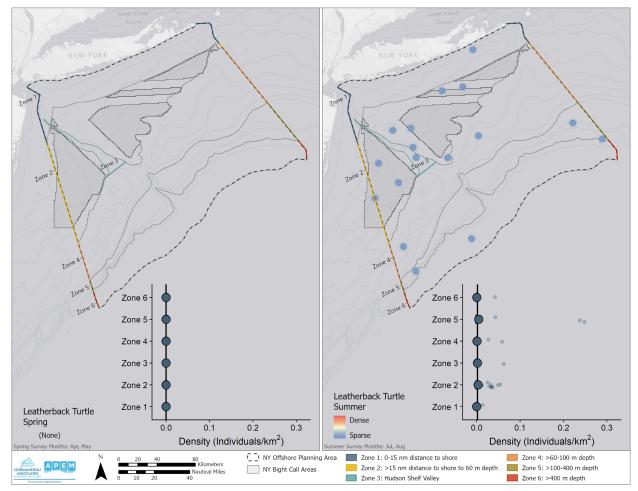


Figure 27. Heat map showing spatial distribution for leatherback turtles during spring and summer by Zone and location of the current identified call areas.

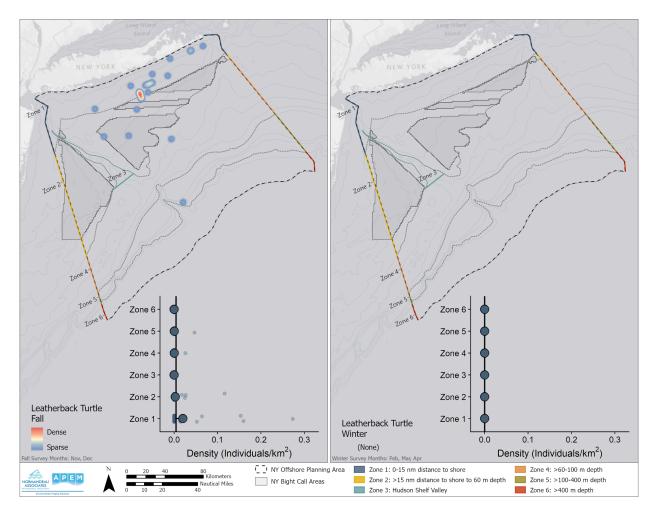


Figure 28. Heat map showing spatial distribution for leatherback turtles during fall and winter by Zone and location of the current identified call areas.

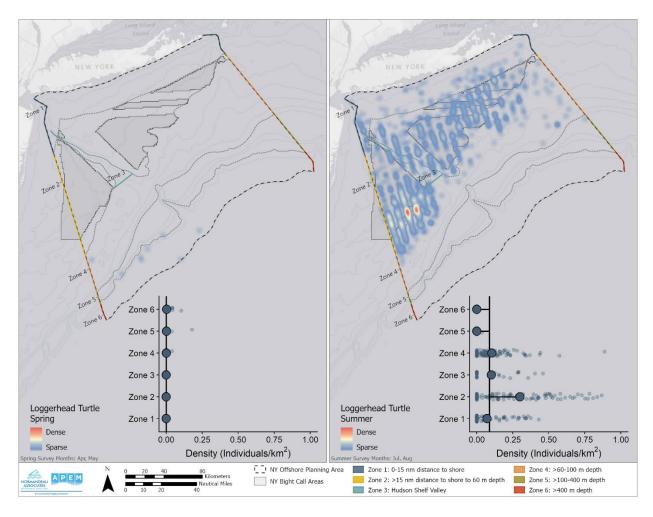


Figure 29. Heat map showing spatial distribution for loggerhead turtles during spring and summer by Zone and location of the current identified call areas.

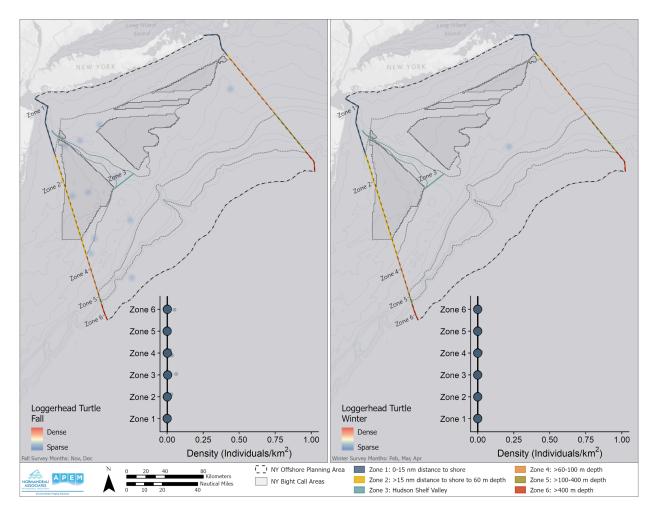


Figure 30. Heat map showing spatial distribution for loggerhead turtles during fall and winter by Zone and location of the current identified call areas.

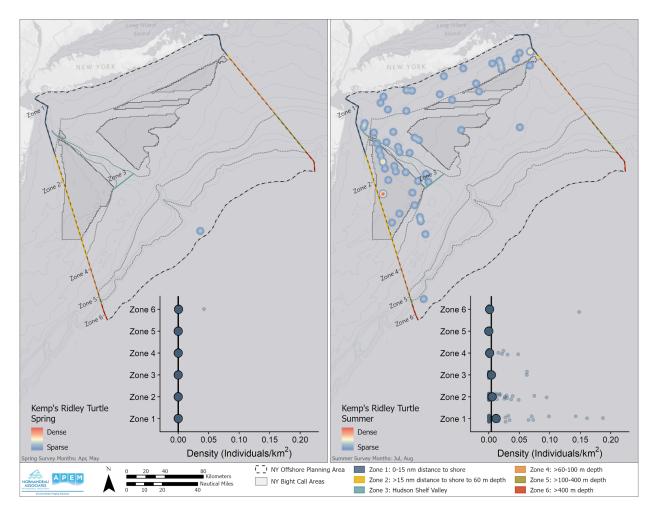


Figure 31. Heat map showing spatial distribution for Kemp's ridley turtles during spring and summer by Zone and location of the current identified call areas.

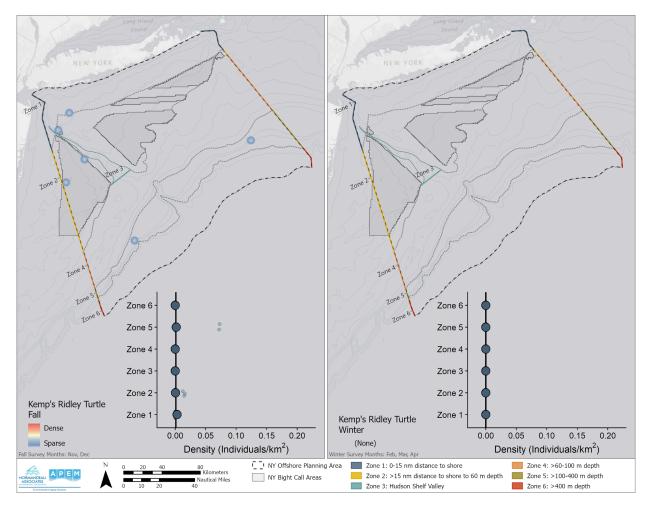


Figure 32. Heat map showing spatial distribution for Kemp's ridley turtles during fall and winter by Zone and location of the current identified call areas.

2.6.3 Marine Mammals



Matching NYSERDA (2017), all endangered whale species, including North Atlantic right whale, show the same overall low abundance throughout the whole OPA, and no detectable geographic preferences in part due to low encounter rates (Figure 33).

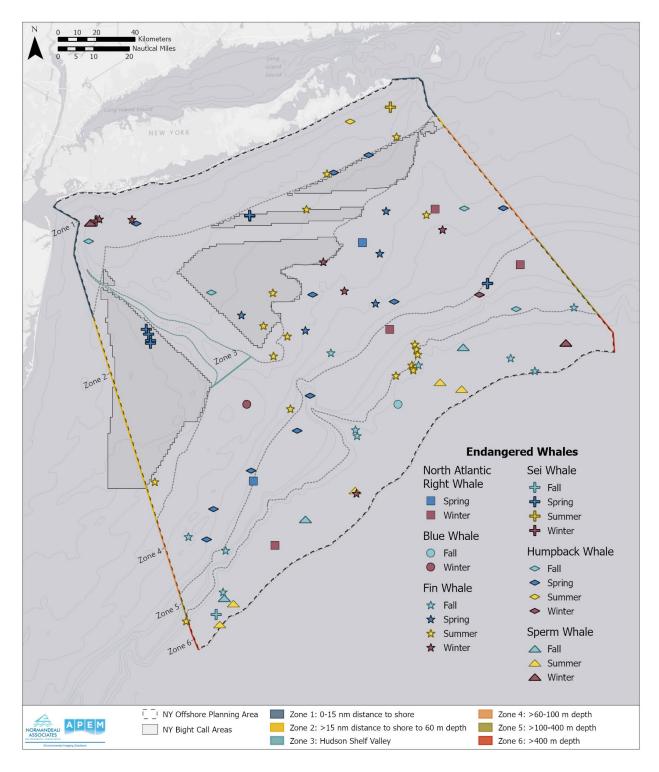


Figure 33. Spatial distribution for Federal and State endangered whale species with low encounter rates by Zone and location of the current identified call areas.

2.6.4 Rays

These aerial digital surveys of the New York OPA were the first to positively identify giant manta rays at this, the most northerly latitude of their encounters along coastal USA. Seven giant manta rays were found across all surveys, occurring only in the summer, and all occurring in the Shelf Break Zone 6 (Figure 26).



2.6.5 Sharks



Scalloped hammerhead and shortfin make sharks are listed under the Endangered Species Act. Encounter rates of shortfin make sharks were low (n= 7) and they showed no preference for any Zone, although they were absent from Area for Consideration Zone 2 (Figure 26). Scalloped hammerhead sharks showed a slight preference for Shelf Slope Zone 5 (Figure 34, Figure 35).



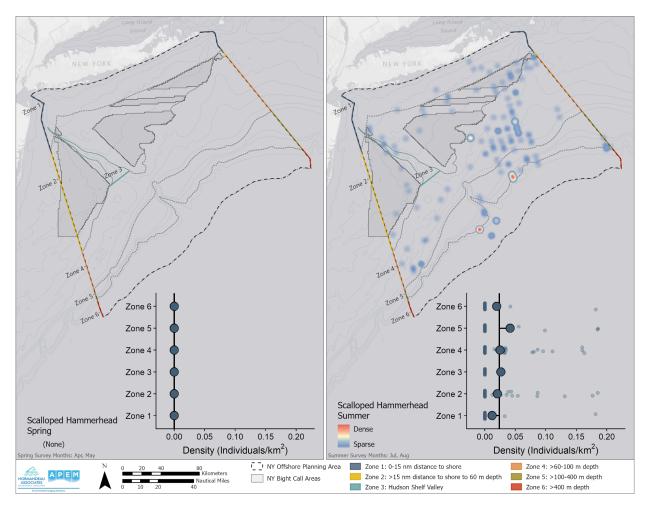


Figure 34. Heat map showing spatial distribution for scalloped hammerhead sharks during spring and summer by Zone and location of the current identified call areas.



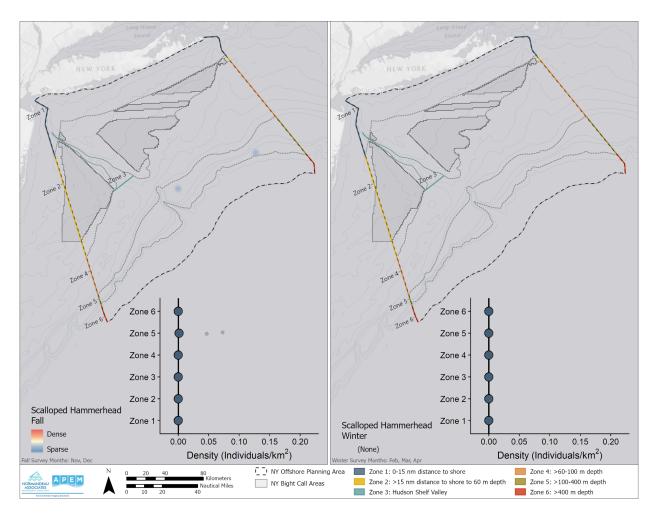


Figure 35. Heat map showing spatial distribution for scalloped hammerhead sharks during fall and winter by Zone and location of the current identified call areas.

3 Conclusion

Results from aerial high-resolution surveys can provide insight into spatial and temporal animal distributions within a surveyed area. Data from these surveys can inform wind turbine siting decisions at a high-level and site-level through better understanding of species composition, relative abundance, and animal movements. This information can also be used in developing project-specific environmental documents such as Environmental Assessments and Environmental Impact Statements should the need arise. Multi-year surveys over large areas such as the New York OPA allow insight into interannual variation in patterns of density and distribution of animals visible from the air.





Data collected during these surveys confirm that the proposed call areas for wind energy identified in NYSERDA (2017) are in areas with lower abundances of marine and avian resources.

4 References

NYSERDA. 2017. New York State Area for Consideration for the Potential Locating of Offshore Wind Energy Areas. NYSERDA Report 17-25u. 144 p.

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Robinson Willmott, J. C., G. Forcey, and A. Kent. 2013. The Relative Vulnerability of Migratory Bird Species to Offshore Wind Energy Projects on the Atlantic Outer Continental Shelf: An Assessment Method and Database. Final Report to the U.S. Department of the Interior, Bureau of Ocean Energy Management, Office of Renewable Energy Programs. OCS Study BOEM 2013



